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Vivian Ann Hardy (Depositor's name)
Vivian Ann Hardy (Signature)
May 31, 2006 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/708,863	11/08/2000	Christopher L. Aardahl	00-508	3003

TITLE OF INVENTION: METHOD AND SYSTEM FOR THE COMBINATION OF NON-THERMAL PLASMA AND METAL/METAL OXIDE DOPED Y-ALUMINA CATALYSTS FOR DIESEL ENGINE EXHAUST AFTERTREATMENT SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$1400	06/09/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS	06/08/2006 SHASSEN2 00000046 031129 09708863
WACHTEL, ALEXIS A	1764	422-174000	01 FC:1501 1400.00 DA

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.563).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Liell & McNeil

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Caterpillar Inc.
Battelle Memorial Institute

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Peoria, IL
Richland, Washington

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

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- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Date

Typed or printed name Dennis C. Skarvan

Registration No. 35,360

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